

CIN NO: L67120GJ1994PLC022740

Date: 18/11/2022

To,
BSE Limited
Department of Corporate Services
Phiroze Jeejibhoy Towers, Fort,
Dalal Street, Mumbai-400001

SCRIP CODE: 511738

Sub: SDD Compliance Certificate for the quarter ended on 30th September, 2022

Dear Sir/Madam,

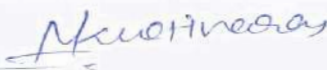
Please find the enclosed SDD Certificate for the quarter ended on 30th September, 2022 pursuant to Regulation 3(5) and 3(6) of SEBI(Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations).

You are requested to take on record.

Thanking You,

Yours Faithfully,

For, Mehta Securities Limited



Mahesh Motivaras
Company Secretary & Compliance Officer



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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30TH SEPTEMBER, 2022
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Mahesh Kishanbhai Motivaras, Compliance Officer of Mehta Securities Limited (as decided by the Company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1(One) number of event during the quarter ended and has captured 1(One) number of the said required event.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NA.

For Mehta Securities Limited



Mahesh Kishanbhai Motivaras
Company Secretary & Compliance Officer



Date: 18.11.2022
Place : Ahmedabad